

FORM PTO-1390 (Modified)  
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

4296-145 US

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/890562

INTERNATIONAL APPLICATION NO.  
PCT/JP00/00531INTERNATIONAL FILING DATE  
1 February 2000 (01.02.00)PRIORITY DATE CLAIMED  
2 February 1999 (02.02.99)

TITLE OF INVENTION

PREVENTIVE AND THERAPEUTIC AGENTS FOR ARTERIOSCLEROSIS

APPLICANT(S) FOR DO/EO/US

YOSHIKAWA, T. et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

## Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Certificate of Mailing by Express Mail
23. ☐ Other items or information:

U.S. APPLICATION NO. (SEE INSTRUCTIONS) 37 CFR

INTERNATIONAL APPLICATION NO.

ATTORNEY'S DOCKET NUMBER

097890562

PCT/JP00/00531

4296-145 US

24. The following fees are submitted.:

CALCULATIONS PTO USE ONLY

**BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5) ) :**

- ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1000.00
- ☒ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00
- ☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00
- ☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =****\$860.00**Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☒ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).**\$130.00**

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	10 - 20 =	0	x \$18.00
Independent claims	2 - 3 =	0	x \$80.00

**\$0.00****\$0.00**Multiple Dependent Claims (check if applicable). ☐**\$0.00****TOTAL OF ABOVE CALCULATIONS =****\$990.00**☐ Applicant claims small entity status. (See 37 CFR 1.27). The fees indicated above are reduced by 1/2.**\$0.00****SUBTOTAL =****\$990.00**Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).**\$0.00****TOTAL NATIONAL FEE =****\$990.00**Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). ☐**\$0.00****TOTAL FEES ENCLOSED =****\$990.00**Amount to be:  
refunded

\$

charged

\$

- a. ☒ A check in the amount of **\$990.00** to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **13-2165**. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

Diane Dunn McKay, Esq.  
Mathews, Collins, Shepherd & Gould, P.A.  
100 Thanet Circle, Suite 306  
Princeton, NJ 08540  
(609) 924-8555 Telephone  
(609) 924-3036 Facsimile



SIGNATURE

Diane Dunn McKay

NAME

34,586

REGISTRATION NUMBER

August 1, 2001

DATE

09/890562

Docket No.: 4296-145 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Yoshikawa, T. et al.

Serial No.: Herewith

Group Art Unit: TBD

Filed: August 1, 2001

Examiner: TBD

Title: AGENT FOR PREVENTING AND CURING ARTERIOSCLEROSIS

Commissioner for Patents  
Washington, DC 20231PRELIMINARY AMENDMENT

Sir:

Prior to examination and prior to the calculation of the filing fee, please amend this application as follows:

In the claims:

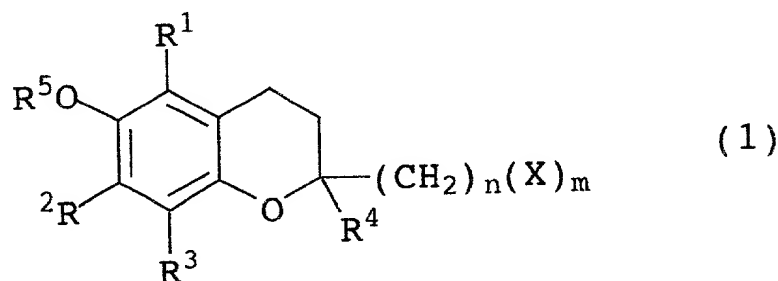
Please amend claims 3 and 4 as follows:

3. (Amended) An agent for preventing and curing arteriosclerosis according to claim 1 [or claim 2], wherein said arteriosclerosis is atherosclerosis.

4. (Amended) An agent for preventing and curing arteriosclerosis according to claim [any of claims] 1 [-3], which is an aqueous preparation.

Please add claims 5-10 as follows:

5. (New) A method for preventing and curing arteriosclerosis, the method comprising administering to a subject an agent having as an active component thereof a chromanol glucoside represented by the following general formula (1)



wherein R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and R<sup>4</sup> are the same or different and are each a hydrogen atom or a lower alkyl group, R<sup>5</sup> is a hydrogen atom, a lower alkyl group, or a lower acyl group, X is a monosaccharide residue or an oligosaccharide residue optionally having a lower alkyl group or a lower acyl group substitute for the hydrogen atom of the hydroxyl group in the saccharide residue, n is an integer in the range of 0-6, and m is an integer in the range of 1-6.

6. (New) The method of claim 5 wherein said chromanol glucoside is 2-( $\alpha$ -D-glucopyranosyl)methyl-2,5,7,8-tetramethylchroman-6-ol.
7. (New) The method of claim 5 wherein said arteriosclerosis is atherosclerosis.
8. (New) The method of claim 1 wherein said agent is an aqueous preparation.
9. (New) The method of claim 5 wherein the agent is administered orally.
10. (New) The method of claim 5 wherein the agent is administered non-orally.

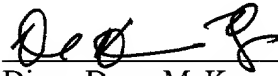
#### REMARKS

Claims 3 and 4 have been amended. Claims 5-10 have been added. Attached is a clean copy of claims 3-10. Claims 1-10 are in this application.

Claims 3 and 4 have been amended to cancel multiple dependencies. Applicants believe that the claims would have been allowable as originally filed. Accordingly, applicants assert that no claims have been narrowed within the meaning of the Federal Circuit's recent decision in *Festo Corp. v. Shoketsu Kinzoku Kohyo Kabushiki Co.*, No. 95-1066, 2000 WL 1753646 (Fed. Cir. Nov. 29, 2000).

A prompt and favorable action on the merits is earnestly solicited. It is believed that no fee is required. The Commissioner is authorized to charge any deficiency or credit any overpayment to Deposit Account No. 13-2165.

Respectfully submitted,



Diane Dunn McKay  
Reg. No. 34,586  
Attorney for Applicant

DATE: August 1, 2001

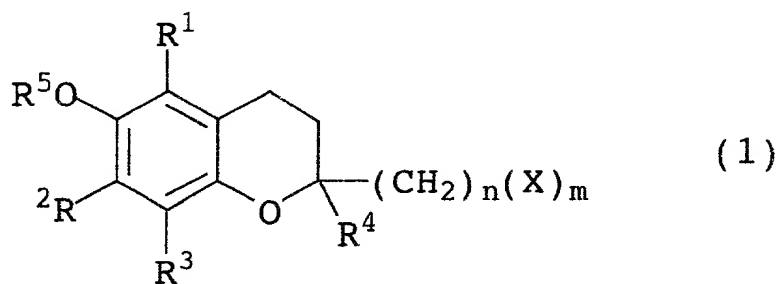
MATHEWS, COLLINS, SHEPHERD & GOULD  
100 Thanet Circle, Suite 306  
Princeton, NJ 08540  
(609) 924-8555 - Telephone  
(609) 924-3036 - Facsimile

## CLEAN COPY OF CLAIMS

3. An agent for preventing and curing arteriosclerosis according to claim 1, wherein said arteriosclerosis is atherosclerosis.

4. An agent for preventing and curing arteriosclerosis according to claim 1, which is an aqueous preparation.

5. A method for preventing and curing arteriosclerosis, the method comprising administering to a subject an agent having as an active component thereof a chromanol glucoside represented by the following general formula (1)



(wherein  $R^1$ ,  $R^2$ ,  $R^3$ , and  $R^4$  are the same or different and are each a hydrogen atom or a lower alkyl group,  $R^5$  is a hydrogen atom, a lower alkyl group, or a lower acyl group,  $X$  is a monosaccharide residue or an oligosaccharide residue optionally having a lower alkyl group or a lower acyl group substitute for the hydrogen atom of the hydroxyl group in the saccharide residue,  $n$  is an integer in the range of 0-6, and  $m$  is an integer in the range of 1-6).

6. The method of claim 5 wherein said chromanol glucoside is 2-( $\alpha$ -D-glucopyranosyl)methyl-2,5,7,8-tetramethylchroman-6-ol.

7. The method of claim 5 wherein said arteriosclerosis is atherosclerosis.

8. The method of claim 1 wherein said agent is an aqueous preparation.

9. The method of claim 5 wherein the agent is administered orally.

10. The method of claim 5 wherein the agent is administered non-orally.

## DESCRIPTION

## AGENT FOR PREVENTING AND CURING ARTERIOSCLEROSIS

## Technical Field

5 This invention relates to a novel agent for preventing and curing arteriosclerosis. More particularly, the invention relates to an agent for preventing and curing arteriosclerosis by using a water-soluble chromanol glucoside as an active component thereof.

## Background Art

10 The arteriosclerosis is the general germ for the localized arterial lesion which manifests itself as reconstruction, hardening, and hypofunction on the arterial wall. Among other such phenomena, the atherosclerosis which is observed to deposit on the blood vessel wall a lipid formed  
15 mainly of cholesterol is particularly important clinically. The atherosclerosis occurring in the coronary artery, the cerebral artery, the renal artery, and the artery of the limbs induces myocardial infarction, cerebral restraint, renal restraint, and necrosis of the limbs as accompanied by  
20 constriction of the canal cavity and formation of thrombosis.

Many factors are believed to be associated with the crisis and dilation of the atherosclerosis. Recently, it has been ascertained that the low specific gravity lipoprotein (LDL) which has undergone the oxidation degeneration caused by the  
25 active enzyme or the free radical is fulfilling an important role. Specifically, it is believed that during the initial stage of the atherosclerosis, numerous monocytes in the blood adhere to the hemangioendothelial cells, infiltrate the endothelia, and then succumb to differentiation into  
30 macrophage and that the scavenger acceptor of the macrophage engulfs the oxidized LDL, converts it into foam cells, and accumulates the foam cells, and gives rise to an initial





E, carotene, and ubiquinol which are known antioxidants from the beginning. In fact, the oxidation of the LDL is believed to be induced by the fact that the LDL migrates to below the endothelia of the blood vessels and succumbs therein to the oxidative modification caused by the hemangioendothelial cells, the smooth muscle cells of the blood vessel, and the macrophage and the active oxygen generated from such cells is believed to be associated with this oxidative modification (Steinberg, D., et al.: Beyond cholesterol: Modification of law density lipoprotein that increases aetherogenecity. N. Eng. J. Med., 320: 915-924 (1989)). Since the greater part of the oxidation of the LDL is induced by the active oxygen generated outside the LDL, it is inferred that it is important for the purpose of inhibiting the oxidation of the LDL to eliminate the active oxygen before it reacts with the LDL and stop promptly the peroxidation of lipid which has occurred on the surface of the LDL. No animal test has produced a report to the effect that the sole administration of a water-soluble antioxidant has inhibited the arteriosclerosis. It is, therefore, inferred that the conventional water-soluble antioxidant is incapable of occurring at the site fit for preventing the LDL in vivo from the active oxygen.

The chromanol glucoside which is used in this invention is a known compound (JP-A-07-118,287). This chromanol glucoside is obtained by substituting an alcohol for the phytyl group at the 2 position of a chromane ring of  $\alpha$ -tocopherol which is a typical form of vitamin E and further binding a saccharum to the alcohol. It possesses an excellent water-solubility and an excellent antioxidative function. It has never been known to be usable for preventing and curing the arteriosclerosis.

This invention produced with a view to eliminating the

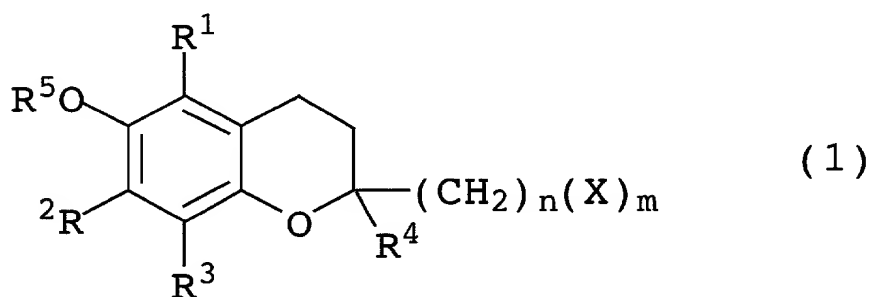
problems inherent in the prior art has for an object thereof the provision of a novel agent for preventing and curing the arteriosclerosis, which is capable of effectively acting on the arteriosclerosis and preventing this disease from  
5 dilation at a low rate of application without entailing any secondary reaction.

Another object of this invention is to provide a novel agent for preventing and curing the arteriosclerosis, which can be formulated as an aqueous reagent containing the active  
10 component at a high concentration.

#### Disclosure of the Invention

As a result of pursuing a diligent study regarding the pathology of the arteriosclerosis, the present inventors have found that the chromanol glucoside mentioned above  
15 dramatically prevents and improves the lesion of arteriosclerosis.

Specifically, this invention concerns an agent for preventing and curing the arteriosclerosis which has a chromanol glucoside represented by the following general  
20 formula (1)



(wherein R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and R<sup>4</sup> are the same or different and are each a hydrogen atom or a lower alkyl group, R<sup>5</sup> is a hydrogen  
25 atom, a lower alkyl group, or a lower acyl group, X is a monosaccharide residue or an oligosaccharide residue

optionally having a lower alkyl group or a lower acyl group substitute for the hydrogen atom of the hydroxyl group in the saccharide residue, n is an integer in the range of 0 - 6, and m is an integer in the range of 1 - 6) as an effective component.

This invention also concerns an agent for preventing and curing the arteriosclerosis, wherein the chromanol glucoside mentioned above is 2-( $\alpha$ -D-glucopyranosyl)methyl-2,5,7,8-tetramethyl chroman-6-ol.

This invention further concerns an agent for preventing and curing the arteriosclerosis, wherein the arteriosclerosis mentioned above is atherosclerosis.

This invention further concerns an agent for preventing and curing the arteriosclerosis, wherein the agent is in the form of an aqueous preparation.

#### Best Mode of Embodying the Invention

The agent for preventing and curing the arteriosclerosis contemplated by this invention is characterized by having a chromanol glucoside represented by the general formula (1) mentioned above as an active component thereof.

The lower alkyl groups in  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^4$  and  $R^5$  are preferred to be lower alkyl groups of 1 - 8, particularly 1 - 6, carbon atoms. As concrete examples of such lower alkyl group, a methyl group, an ethyl group, a propyl group, an isopropyl group, a butyl group, an isobutyl group, a pentyl group, an isopentyl group, a hexyl group, a heptyl group, and an octyl group may be cited. Among other groups mentioned above, the methyl group or the ethyl group prove particularly advantageous. Then, the lower acyl group in  $R^5$  is preferred to be a lower acyl group of 1 - 8, particularly 1 - 6, carbon atoms. As concrete examples of this lower alkyl group, a

formyl group, an acetyl group, a propionyl group, a butyryl group, an isobutyryl group, a valeryl group, an isovaleryl group, a pivaloyl group, a hexanoyl group, a heptanoyl group, and an octanoyl group may be cited. Among other lower alkyl groups mentioned above, the acetyl group, the propionyl group, and the butyl group prove particularly advantageous. As concrete examples of the monosaccharide residue in X, such saccharide residues as glucose, galactose, fucose, xylose, mannose, rhamnose, fructose, arabinose, lyxose, ribose, allose, altrose, idose, talose, deoxyribose, 2-deoxyribose, quinovose, and abequose may be cited. As concrete examples of the oligosaccharide residue in X, those oligosaccharide residues which are formed by combining 2 - 4 of the monosaccharides mentioned above, specifically such saccharide residues as maltose, lactose, cellobiose, raffinose, xylobiose, and sucrose may be cited. Among other oligosaccharide residues mentioned above, such monosaccharide residues as glucose, galactose, fucose, xylose, rhamnose, mannose, and fructose prove particularly advantageous. Then, the hydrogen atom of the hydroxyl group in the saccharide residue represented by X optionally may be substituted for by a lower alkyl group, preferably a lower alkyl group of 1 - 8 carbon atoms, or a lower acyl group, preferably a lower acyl group of 1 - 10 carbon atoms. The letter n is an integer in the range of 0 - 6, preferably in the range of 1 - 4, and the letter m is an integer in the range of 1 - 6, preferably in the range of 1 - 3. As preferred concrete examples of the chromanol glucoside represented by the general formula (1),  
 2-( $\alpha$ -D-glucopyranosyl)methyl-2,5,7,8-tetramethylchroman-6-ol,  
 2-( $\beta$ -D-galactopyranosyl)methyl-2,5,7,8-tetramethylchroma

n-6-ol,

2-( $\beta$ -fucopyranosyl)methyl-2,5,7,8-tetramethylchroman-6-ol,

2-( $\alpha$ -L-rhamnopyranosyl)-methyl-2,5,7,8-tetramethylchroman-6-ol,

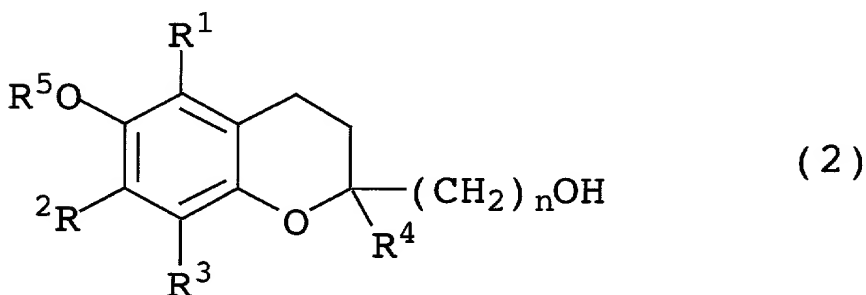
2-( $\beta$ -D-xylopyranosyl)methyl-2,5,7,8-tetramethylchroman-6-ol,

2-( $\beta$ -D-glucopyranosyl)methyl-2,5,7,8-tetramethylchroman-6-ol,

2-( $\beta$ -D-fructopyranosyl)methyl-2,5,7,8-tetramethylchroman-6-ol, and

2-( $\alpha$ -D-mannopyranosyl)methyl-2,5,7,8-tetramethylchroman-6-ol may be cited.

The chromanol glucoside to be used in this invention is produced by the method disclosed in the official gazette of JP-A-07-118,287, for example, i.e. by an enzymatic reaction which comprises causing a 2-substituted alcohol represented by the following general formula (2):



(wherein  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^4$ ,  $R^5$ , and  $n$  have the same meanings as defined above), an oligosaccharide, soluble starch, starch, or cyclodextrin to react in the presence of an enzyme capable of catalyzing a corresponding transglycosiding action thereby inducing linkage of a hydroxyl group proper for the sugar specifically to the hydroxyl group at the 2 position of the

2-substituted alcohol (enzymatic method).

The 2-substituted alcohol represented by the general formula (2) and used as a raw material in the reaction described above (hereinafter referred to briefly as "2-substituted alcohol") is a known substance and is obtained by a method disclosed in the official gazette of JP-B-01-43,755 and the official gazette of JP-B-01-49,135, for example. The 2-substituted alcohol which fulfills the general formula (2) by having a methyl group for each of  $R^1$ ,  $R^2$ ,  $R^3$ , and  $R^4$  and a hydrogen atom for  $R^5$  and 1 for  $n$ , for example, can be easily obtained by subjecting Trolox® to a thermal refluxing treatment in diethyl ether in the presence of hydrogenated lithium aluminum.

The enzyme which serves to catalyze the transglycosiding action in the reaction mentioned above is preferred to be used as varied with the kind of the sugar to be used in the reaction as indicated hereinbelow.

(1) In the linkage of a glucose residue with an  $\alpha$ -bond to the 2-substituted alcohol:

(a) The maltooligosaccharide at the position anywhere from maltose through maltotetraose is preferred to be acted on by an  $\alpha$ -glycosidase (EC3.2.1.20). The  $\alpha$ -glycosidase of any of nearly all origins can be used. As concrete examples of the  $\alpha$ -glycosidase, the  $\alpha$ -glycosidase originating in the *Saccharomyces* sp. produced by Toyo Spinning Co., Ltd., the  $\alpha$ -glycosidase originating in *Saccharomyces cerevisiae* produced by Oriental Kobo Kogyo K.K., the  $\alpha$ -glycosidase originating in *Aspergillus niger* produced by Amano Seiyaku K.K., the  $\alpha$ -glycosidase originating in *Saccharomyces* sp. produced by Wako Pure Chemical Industries Ltd., the  $\alpha$ -glycosidase originating in Bakers yeast produced by SIGMA Corp, and the  $\alpha$ -glycosidase originating in genus

Bacillus may be cited.

(b) The soluble starch or the starch is preferred to be acted upon by 4- $\alpha$ -D-glucanotransferase (EC 2.4.1.25).

(2) In the linkage of a glucose residue or a maltooligo  
5 residue with an  $\alpha$ -bond to the 2-substituted alcohol:

The maltooligosaccharide, the soluble starch, the starch,  
or the cyclodextrin ( $\alpha$ ,  $\beta$ ,  $\gamma$ ) is preferred to be acted upon  
by cyclodextrin glucanotransferase (EC 2.4.1.19). As  
typical examples of the cyclodextrin glucanotransferase, the  
10 cyclodextrin glucanotransferase originating in Bacillus  
macerans produced by Amano Seiyaku K.K., the cyclodextrin  
glucanotransferase originating in stearrowthermophilus  
produced by Hayashibara Seibutsu Kagaku Kenkyusho K.k. and  
other species of cyclodextrin glucanotransferase originating  
15 in Bacillus megaterium and Bacillus circulans (ATCC 9995)  
may be cited.

(3) In the linkage of a glucose residue with a  $\beta$ -bond  
to the 2-substituted alcohol:

(a) Such an oligosaccharide as cellobiose, curdlan,  
20 or laminaran which comprises a  $\beta$ -bond is preferred to be acted  
upon by  $\beta$ -glucosidase (EC 3.2.1.21).

(b) The cellobiose in the presence of phosphoric  
acid is preferred to be acted upon by cellobiose phosphorylase  
(EC 2.4.1.20).

25 (4) In the linkage of galactose residue with an  $\alpha$ -bond  
to the 2-substituted alcohol.

(a) The melibiose or the raffinose is preferred  
to be acted upon by  $\alpha$ -galactosidase (EC 3.2.1.22).

(5) In the linkage of a galactose residue with a  $\beta$ -bond  
30 to the 2-substituted alcohol:

(a) The lactose or the like is preferred to be acted  
upon by  $\beta$ -galactosidase (EC 3.2.1.23).



(b) The arabinose or the like is preferred to be acted upon by endo-1,4- $\beta$ -galactanase (EC 3.2.1.89).

(6) In the linkage of a fructose residue with a  $\beta$ -bond to the 2-substituted alcohol.

5 (a) The sucrose, the raffinose, or the melibiose is preferred to be acted upon by levansucrase (EC 2.4.1.10).

(b) The sucrose is preferred to be acted upon by  $\beta$ -fructofuranosidase (EC 3.2.1.26).

10 (c) The inulin or the like is preferred to be acted upon by inulin fructotransferase (EC 2.4.1.93).

10001-2950880  
The conditions to be observed in performing the reaction mentioned above are variable with the kind of chromanol glucoside and the kind of enzyme to be used. In synthesizing a chromanol glucoside which fulfills the general formula (1)  
15 by having 1 for m by the use of an  $\alpha$ -glucosidase, for example, it is commendable to have the 2-substituted alcohol solved in advance in a sugar solution. For the sake of this solution, the addition of an organic solvent proves advantageous. As concrete examples of the organic solvent, dimethyl sulfoxide,  
20 N,N-dimethyl formamide, methanol, ethanol, acetone, and acetonitrile may be cited. When the fact of heightening the transitional activity of the  $\alpha$ -glucosidase is additionally taken into consideration, the use of dimethyl sulfoxide or N,N-dimethyl formamide proves particularly advantageous.  
25 The concentration at which the organic solvent is added is in the range of 1 - 50 (vol/vol)%. When the efficiency of the reaction forms an important consideration, this concentration is preferred to be in the range of 5 - 35 (vol/vol)%.

30 The concentration of the 2-substituted alcohol is preferred to be the saturated concentration in the reaction solution or a concentration approximating closely thereto.



As concrete preferred examples of the saccharide to be used in the reaction mentioned above, maltooligosaccharide, soluble starch, starch, and cyclodextrin ( $\alpha$ ,  $\beta$ ,  $\gamma$ ) which have higher degrees of polymerization than maltotriose may be cited.

5 The concentration of this saccharide is properly in the range of 1 - 70 (mass/vol)%, and preferably in the range of 5 - 50 (mass/vol)%. The pH is properly in the range of 4.5 - 8.5, and preferably in the range of 5.0 - 7.5. The reaction temperature is properly in the range of 10 - 70°C, and preferably  
10 in the range of 30 - 60°C. The reaction time is properly in the range of 1 - 60 hours, and more preferably in the range of 2 - 50 hours. These reaction conditions, however, are affected by the amount of the enzyme to be used. The chromanol glucoside which is obtained by this reaction is a mixture  
15 fulfilling the general formula by having 1 - 8 for m. Then, by treating this mixture with glucoamylase (EC 3.2.1.3), it is made possible to obtain exclusively the chromanol glucoside which fulfills the general formula (1) by having 1 for m. The reaction temperature in this case is properly in the range  
20 of 20 - 70°C, and preferably in the range of 30 - 60°C. The reaction time is properly in the range of 0.1 - 40 hours, and preferably in the range of 1 - 24 hours. These reaction conditions, however, are affected by the amount of the enzyme to be used. Then, by subjecting the liquid remaining after  
25 the treatment with the glucoamylase mentioned above to a treatment of column chromatography using XAD, the product of Japan Organo K.K. as a carrier, it is made possible to obtain with high efficiency the chromanol glucoside which fulfills the general formula (1) by having 1 for m.

30 In the production of a chromanol glucoside fulfilling the general formula (1) by having 2 for m, it is made possible by causing a  $\beta$ -amylase (EC 3.2.1.2) to act on the chromanol

glucoside produced with cyclodextrin glucanotransferase under the same conditions as described above and possessed of the form of a mixture fulfilling the general formula (1) by having 1 - 8 for m to obtain exclusively the chromanol glucoside fulfilling the general formula (1) by having 1 or 2 for m. The reaction temperature in this case is properly in the range of 20 - 70°C, and preferably in the range of 30 - 60°C. The reaction time is properly in the range of 0.1 - 40 hours, and preferably in the range of 1 - 24 hours. These reaction conditions, however, are affected by the amount of the enzyme to be used. By subjecting the liquid remaining after the treatment with the  $\beta$ -amylase to a treatment of column chromatography using XAD, the product of Japan Organo K.K. as a carrier, it is made possible to obtain with high purity a chromanol glycoside fulfilling the general formula (1) by having 2 for m and a chromanol glucoside fulfilling the general formula (1) by having 1 for m as well.

In the production of a chromanol glucoside fulfilling the general formula (1) by having not less than 3 for m, it is made possible by subjecting the chromanol glucoside produced with cyclodextrin glucanotransferase under the same conditions as described above and possessed of the form of a mixture fulfilling the general formula (1) by having 1 - 8 for m to a treatment as by fractionation chromatography using HPLC to obtain with high efficiency a chromanol glucoside for each of the positions of m.

The preceding mode of embodiment has described the case of linking a glucose residue or a maltooligosaccharide residue as a sugar residue to the 2-substituted alcohol. This invention can be used similarly for the mode of linking a galactose residue, a  $\beta$ -glucose residue, a mannose residue, or a fructose residue as a sugar residue to the 2-substituted

alcohol. In this mode, the chromanol glucoside aimed at can be obtained with high purity by following the procedure of the mode described above while using a proper enzyme described in the former paragraph which has covered the catalyst capable of producing a transglycosiding action (the official gazette of JP-A-09-249,688 and the official gazette of JP-A-09-176,174).

The chromanol glucoside to be used in this invention can be also produced by subjecting the product formed by protecting the hydroxyl group at the 6 position of the 2-substituted alcohol mentioned above with a protecting group (hereinafter referred to as "saccharide acceptor") and the derivative of saccharide formed by introducing a leaving group at the anomer position and protecting the other hydroxyl group with a protecting group (hereinafter referred to as "saccharide donor") to a condensing reaction in accordance with the method described in the official gazette of JP-A-10-75,599 (organic synthetic method).

As concrete examples of the protecting group which serves to protect the hydroxyl group at the 6 position of the saccharide acceptor for use in the reaction mentioned above, an acetyl group, a benzoyl group, a pivaloyl group, a chloroacetyl group, a levulinoyl group, a benzyl group, a p-methoxybenzyl group, an allyl group, a t-butyl dimethylsilyl group, a t-butyl diphenylsilyl group, a trimethylsilyl group, and a trityl group may be cited. Among other protecting groups mentioned above, the acetyl group and the benzoyl group prove particularly advantageous.

As concrete examples of the leaving group which is introduced to the anomer position of the saccharide acceptor for use in the reaction described above, halogen atoms such as chlorine, bromine, and fluorine, sulfur compounds such

as thiomethyl group, thioethyl group, and thiophenyl group, and trichloroacetoimide group may be cited. Among other leaving groups mentioned above, the bromine, the chlorine, the thiomethyl group, the thioethyl group, the thiophenyl group, and the trichloroacetamide group prove particularly advantageous. As concrete examples of the protecting group which serves to protect the hydroxyl group at any position other than the anomer position, acyl type protecting groups such as an acetyl group, a benzoyl group, a pivaloyl group, a chloroacetyl group, and a levulinoyl group and ether type protecting groups such as a benzyl group, a p-methoxybenzyl group, an allyl group, a t-butyl dimethylsilyl group, a t-butyl diphenylsilyl group, a trimethylsilyl group, and a trityl group may be cited. Among other protecting groups mentioned above, the acyl type protecting groups, especially the acetyl group, prove particularly advantageous.

The saccharide donor can be easily prepared by introducing such protecting groups to all the hydroxyl group of the saccharide and substituting leaving groups for the anomer positions by a well-known method.

To show the condensing reaction between the saccharide acceptor and the saccharide donor, the reaction is started by solving the saccharide acceptor and the saccharide donor in a nonpolar solvent. The amounts of the saccharide acceptor and the saccharide donor to be placed in the solvent are commended to have a molar ratio of the saccharide donor to the saccharide acceptor properly in the range of 1.0 - 1.5, and preferably in the range of 1.1 - 1.3. As concrete examples of the nonpolar solvent, methylene chloride and benzene may be cited.

Then, the condensing reaction of the saccharide donor and the saccharide acceptor is carried out in the presence

of an activating agent in an anhydrous condition. As concrete examples of the activating agent, a trifluoroboric acid-ether complex, silver perchlorate, silver trifluoromethanesulfonate, mercury bromide, mercury cyanide, N-iodosuccinic acid imide-trifluoromethanesulfonic acid, dimethylmethylthiosulfonium trifurate, and p-toluenesulfonic acid may be cited. Particularly when bromine is used as a leaving group for the saccharide derivative, it is commendable to use such heavy metal salts as silver perchlorate. The reaction temperature is properly in the range of 5 - 30°C, and preferably in the range of 10 - 25°C. The reaction time is properly in the range of 12 - 48 hours, and preferably in the range of 20 - 30 hours.

Subsequently, by purifying the resultant reaction product as by silica gel column chromatography thereby denuding it of the protecting group as with sodium hydroxide and methanolic hydrochloric acid, it is made possible to obtain 2-(β-L-fucopyranosyl)methyl-2,5,7,8-tetramethyl chroman-6-ol, 2-(α-L-rhamnopyranosyl)methyl-2,5,7,8-tetramethyl chroman-6-ol, and 2-(β-D-xylopyranosyl)methyl-2,5,7,8-tetramethyl chroman-6-ol (the official gazette of JP-A-10-75,599).

The chromanol glucoside which is obtained by the enzymatic method or the organic synthesis method mentioned above is an amphoteric molecule which generally possesses exceptionally high water-solubility (about 100 g/100 ml) and abounds in oil-solubility (octanol/water distribution coefficient > 3). In other words, the chromanol glucoside which is contemplated by this invention may well be rated as a water-soluble vitamin E endowed with high affinity for fats. The chromanol glucoside according to this invention,

unlike the conventional vitamin E derivative which is insoluble or sparingly soluble in water, retains high affinity for fats even when it is used as solved in water and, therefore, penetrates cellular membranes and further infiltrates the cells, reinforces the antioxidant preventing system in the living body, prevents arteriosclerosis by effectively inhibiting and adjusting the active oxygen and the free radical in the area affected by arteriosclerosis, and brings about dramatic improvement in the morbid state of arteriosclerosis.

Further, the chromanol glucoside which is obtained by the reaction described above manifests a marked improvement over tocopherol, Trolox®, or the 2-substituted alcohol in terms of thermal stability and pH stability.

The agent for preventing and curing arteriosclerosis according to this invention can be administered orally or non-orally to a patient in the form of a composition obtained by combining the chromanol glucoside mentioned above with a pharmaceutically allowable carrier or solving or suspending it in a pharmaceutically allowable solvent.

For the purpose of preparing this agent for oral administration, solid preparations such as tablets, dust (powder), pills, and pellets can be obtained by suitably mixing the chromanol glucoside mentioned above with proper additives such as, for example, excipients like milk sugar, mannitol, maize starch, synthetic or natural rubber, and crystalline cellulose, binding agents like starch, cellulose derivative, gum arabic, gelatin, and polyvinyl pyrrolidone, disintegrating agents like calcium carboxymethyl cellulose, sodium carboxymethyl cellulose, starch, corn starch, and sodium alginate, lustering agents like talc, magnesium stearate, and sodium stearate, and fillers or diluents like calcium carbonate, sodium carbonate, calcium phosphate, and





may be administered in the form of a pellet adapted for embedment or a suppository produced by using a suppository-grade basis.

5 The preparation and the mode of administration which fit a given case may be selected by a physician in charge of the administration in due consideration of the information given above.

10 Though the concentration of the chromanol glucoside in the agent of this invention for preventing and curing arteriosclerosis is variable with such factors as the mode of administration, the kind of disease, the seriousness of the disease, and the purpose of use, it is generally in the range of 0.1 - 100 mass % and preferably in the range of 1 - 90 mass %, based on the total mass of the raw materials.

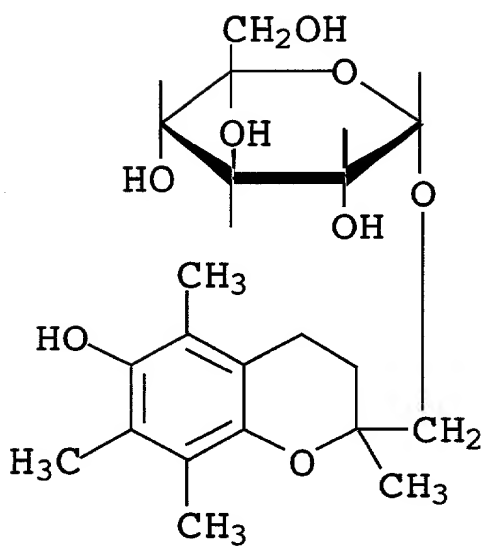
15 It is properly in the range of 1 - 100 mass % and preferably in the range of 5 - 90 mass %, based on the total mass of the raw materials particularly when the agent is orally administered. It is properly in the range of 0.1 - 90 vol % and preferably in the range of 1 - 80 vol %, based on the

20 total volume of the raw materials when the agent is non-orally administered. If, in this case, the concentration of the chromanol glucoside exceeds the upper limit of the range mentioned above, the excess will be at a disadvantage in failing to produce a proportionately effective improvement in the

25 morbid state. If it falls short of the lower limit of the range, the shortage will be at a disadvantage in bringing no expected effect in the improvement of the morbid state.

30 The dosage of the agent of this invention for preventing and curing arteriosclerosis is variable with such factors as the age, body weight, and symptom of a patient, the intended mode and method of administration, the therapeutic effect aimed at, and the duration of treatment and, therefore, is





(3)

Effect in repressing morbid alteration in the model of  
cholesterol-loaded rabbits

1. Animals used and feeding conditions

5 In the test, female and male New Zealand White (NZW)  
type rabbits (SPF: Kitayama Labels K.K.) which were seven  
weeks old at the time of arrival at the laboratory were  
subjected to quarantine and two weeks' domestication and  
visually inspected as to the general condition and, after  
10 the absence of abnormality was confirmed, put to use. The  
body weights at the time of starting administration (at the  
age of nine weeks) were in the range of 1.8 - 2.2 kg in the  
case of male rabbits and in the range of 1.6 - 2.0 kg in the  
case of female rabbits. Throughout the entire duration of  
15 the test, the animals were raised as accommodated one each  
in hanger cages of aluminum installed on a stainless steel  
rack inside a clean feeding chamber set at a temperature in  
the range of 20 - 26°, a relative humidity in the range of  
40 - 70%, a number of ventilations in the range of 10 - 20  
20 turns/hour, and an illumination time within the range of 12  
hours (7.00 - 19:00). They were fed on a commercially

available radioactively sterilized solid feed (a product containing 1% of cholesterol, made by Oriental Kobo K.K. and sold under the product code of "RC4") and they were left freely drinking the tap water delivered by an automatic water supplying device.

## 2. Setting dosage and method of administration

The dosage of TMG was set at 800 mg/head/day. The TMG was solved in reagent grade ethanol. The produced solution was sprayed on the 1% cholesterol-containing feed and the wet feed was left drying in the air. A mixed feed was prepared so as to contain 800 mg of TMG per 100 g of the feed. The mixed feed was given to the rabbits every morning at a rate of 100 g/day per head for 12 weeks for the sake of attaining oral consumption of the TMG. The feed for the control group was obtained by spraying ethanol alone on a feed containing 1% cholesterol and air-drying the wet feed. It was given to the rabbits of the control group at a rate of 100 g/day per head for 12 weeks.

## 3. Formation of groups and number of animals

A control group and a TMG-administration group were formed; the control group of a total of six heads composed of three males and three females and the TMG-administration group of a total of eight heads composed of four males and four females. These animals were apportioned to the two groups randomly and nevertheless elaborately so as to avoid confusion of the children of one brood in either group to the fullest possible extent and equalize as much as permissible the average body weights and the average total cholesterol numbers in blood serum of the two groups with the body weights and the total cholesterol numbers found at the time of completion of the domestication.

## 4. Biochemical test of blood and measurement of body

weight

About 6 ml of blood was taken from the heart or the auricular artery of a rabbit experiencing 12 hours' fast prior to the start of the administration, within 4 and 8 weeks of undergoing administration, and on the final day of administration (12th week). The blood was centrifuged to separate blood serum and the blood serum was analyzed for the items shown in Table 1 by the use of an automatic analyzer (made by Hitachi. Ltd. And sold under the product code of "736-20"). The body weight of each rabbit was measured at the rate of once a week throughout the entire duration of the administration. The results are shown in Table 2.

Table 1

Item of test	Method of determination
Transaminase (GOT, GPT)	Formulation based on prescription in JSCC
Alkali phosphatase (ALP)	p-Nitrophenyl phosphoric acid substrate method
Lactic acid dehydrogenase (LDH)	Wroblewski-La Due method
Choline esterase (ChE)	Butyl thiocholine iodide substrate method
Albumin (ALB)	BCG method
Total cholesterol (T-CHO)	CHOER CHOOD EMSE method
Triglyceride (TG)	GK G-3-POD EMSE method
Phospholipid (PL)	Choline oxidase-HSDA method

Table 2

	Control group		TMG administration group	
	Before administration	12 <sup>th</sup> week of administration	Before administration	12 <sup>th</sup> week of administration
Body weight (kg)	1.92±0.08	3.00±0.07	1.94±0.03	2.89±0.11
GOT (mU/ml)	20.8± 1.7	32.5± 3.9	20.4± 3.1	19.7±2.2*
GPT (mU/ml)	38.3± 6.1	64.8± 8.3	39.5±10.5	72.9± 9.1
ALP (mU/ml)	350± 36	186± 16	297± 18	174± 15
LDH (mU/ml)	153± 15	318± 99	132± 16	147± 31
ChE (U/ml)	0.15±0.05	0.17±0.06	0.33±0.05	0.35±0.06
ALB (g/dl)	2.3±0.1	2.2±0.1	2.2±0.1	2.3±0.1
T-CHO (mg/dl)	49± 5	3015± 432	52± 5	2628± 148
TG (mg/dl)	79± 8	319± 87	64± 9	250± 76
PL (mg/dl)	93± 6	869±103	94± 7	851± 42

\* p < 0.05 (As compared with control group)

## 5. Lipoprotein analysis

About 10 ml of blood was taken from the heart or the auricular artery of a rabbit experiencing 12 hours' fast prior to the start of the administration and on the 6th and 12<sup>th</sup> weeks of administration. The blood was centrifuged to separate blood serum. The blood serum was ultracentrifuged by Hatch and Lees method (Hatch, F. T., and Lees, R. S. (1968) Advan, Lipid Res. 6, 1-68) using a centrifuge (the rotor; Beckman 70.1 Ti) made by Beckman and sold under the product code of "L-70") to separate low specific gravity lipoprotein ( $1.006 < d < 1.063$ ), with the cholesterol amount of the LDL fraction determined by the cholesterol oxidase DASO method and the amount of HDL-cholesterol determined by subjecting the blood serum to the phospho-tungstic acid magnesium salt precipitation method. The amount of TMG was determined by the HPLC method. The results are shown in Table 3.



Table 3

		LDL-CHO (mg/dl)	HDL-CHO (mg/dl)	LDL-TMG (μg/dl)
Control group	Prior to administration	17±3	21±2	0
	12 <sup>th</sup> week of administration	630±83	39±7	0
TMG administration group	Prior to administration	18±3	22±2	not detected
	12 <sup>th</sup> week of administration	620±34	33±5	not detected

#### 6. Evaluation of morbid alteration of aorta

The rabbits in the 12<sup>th</sup> week of administration were each subjected to median laparotomy under the anesthesia induced by the intraabdominal administration of 30 mg of Nembutal (pentobarbital sodium) per kg of body weight. The aorta ranging from the initial part of the heart through the branched part to the iliac artery in each rabbit was extracted as one specimen, incised in the direction of the major axis, pinned on a board, and fixed with neutral formalin. Then, the fixed specimen was subjected to Sudan dyeing to determine the area occupied by the deposited lipid in the whole area of aorta (surface involvement (%)) by means of an image processing software (NIH Image Freeware). The results are shown in Table 4.

Table 4

	Surface involvement (%)
Control group	79.7±3.2
TMG administration group	50.2±5.7**

\*\* p < 0.01 (as compared with the control group)

The control group showed a discernible rise of the GOT, an index of the development of hepatopathy, whereas the TMG administration group showed a discernible sign of significantly repressing the injury and consequently proved effective in repressing the injury. In the biochemical test of blood, the TMG administration group showed no sign of abnormality. The TMG showed no activity of lowering the blood serum lipids (T-CHO, TG, PL, LDL-CHO) which have positive connections with the arteriosclerosis and the ischemic cardiopathy. It was demonstrated to be capable of

significantly repressing the occurrence of the atherosclerosis, though the existence this disease could not be recognized in the LDL. The TMG showed no activity of lowering the HDL-cholesterol which is known to have negative relations with the arteriosclerosis and the ischemic cardiopathy.

#### Effect of repressing morbid alteration with WHHL rabbit model

##### 1. Animal used and feeding conditions

In the test, female and male WHHL type rabbits (SPF: Kitayama Labels K.K.) which were eight weeks old at the time of arrival at the laboratory were subjected to quarantine and domestication and visually inspected as to the general condition and, after the absence of abnormality was confirmed, put to use. The body weights at the time of starting administration (at the age of nine weeks) were in the range of 1.5 - 1.9 kg in the case of male rabbits and in the range of 1.4 - 1.8 kg in the case of female rabbits. Throughout the entire duration of the test, the animals were raised as accommodated one each in hanger cages of aluminum installed on a stainless steel rack inside a clean feeding chamber set at a temperature in the range of 20 - 26°, a relative humidity in the range of 40 - 70%, a number of ventilations in the range of 10 - 20 turns/hour, and an illumination time within the range of 12 hours (7.00 - 19:00). They were fed on a commercially available radioactively sterilized solid feed (made by Oriental Kobo K.K. and sold under the product code of "RC4") and they were left freely drinking the tap water delivered by an automatic water supplying device.

##### 2. Setting dosage and method of administration

The dosage of TMG was set at 800 mg/head/day. The TMG was solved in reagent grade ethanol. The produced solution was sprayed on the feed and the wet feed was left drying in

the air. A mixed feed was prepared so as to contain 800 mg of TMG per 100 g of the feed. The mixed feed was given to the rabbits every morning at a rate of 100 g/day per head for 27 weeks for the sake of attaining oral consumption of the TMG. The feed for the control group was obtained by spraying ethanol alone on a feed and air-drying the wet feed. It was given to the rabbits of the control group at a rate of 100 g/day per head for 27 weeks.

### 3. Formation of groups and number of animals

A control group and a TMG-administration group were formed; each group consisting of three males and three females, namely a total of six rabbits. These animals were apportioned to the two groups randomly and nevertheless elaborately so as to avoid confusion of the children of one brood in either group to the fullest possible extent and equalize as much as permissible the average body weights and the average total cholesterol numbers in blood serum of the two groups with the body weights and the total cholesterol numbers found at the time of completion of the domestication.

### 4. Biochemical test of blood and measurement of body weight

About 6 ml of blood was taken from the heart or the auricular artery of a rabbit experiencing 12 hours' fast prior to the start of the administration, within 4, 8, and 16 weeks of undergoing administration, and on the final day of administration (27<sup>th</sup> week). The blood was centrifuged to separate blood serum and the blood serum was analyzed for the items shown in Table 5 by the use of an automatic analyzer (made by Hitachi. Ltd. and sold under the product code of "736-20"). The body weight of each rabbit was measured at the rate of once a week throughout the entire duration of the administration. The results are shown in Table 6.

Table 5

Item of test	Method of determination
Transaminase (GOT, GPT)	Formulation based on prescription in JSCC
Alkali phosphatase (ALP)	p-Nitrophenyl phosphoric acid substrate method
Lactic acid dehydrogenase (LDH)	Wroblewski-La Due method
Choline esterase (ChE)	Butyl thiocholine iodide substrate method
Albumin (ALB)	BCG method
Total cholesterol (T-CHO)	CHOER CHOOD EMSE method
Triglyceride (TG)	GK G-3-POD EMSE method
Phospholipid (PL)	Choline oxidase-HSDA method



## 5. Lipoprotein analysis

About 10 ml of blood was taken from the heart or the auricular artery of a rabbit experiencing 12 hours' fast prior to the start of the administration and on the 12<sup>th</sup> and 26th weeks of administration. The blood was centrifuged to separate blood serum. The blood serum was ultracentrifuged by Hatch and Lees method (Hatch, F. T., and Lees, R. S. (1968) Advan, Lipid Res. 6, 1-68) using a centrifuge (the rotor; Beckman 70.1 Ti) made by Beckman and sold under the product code of "L-70") to separate low specific gravity lipoprotein ( $1.006 < d < 1.063$ ), with the cholesterol amount of the LDL fraction determined by the cholesterol oxidase DASO method and the amount of HDL-cholesterol determined by subjecting the blood serum to the phospho-tungstic acid magnesium salt precipitation method. The amount of TMG was determined by the HPLC method. The results are shown in Table 7.

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— 33 —



## 6. Evaluation of morbid alteration of aorta

The rabbits in the 27<sup>th</sup> week of administration were each subjected to median laparotomy under the anesthesia induced by the intraabdominal administration of 30 mg of Nembutal (pentobarbital sodium) per kg of body weight. The aorta ranging from the initial part of the heart through the branched part to the iliac artery in each rabbit was extracted as one specimen, incised in the direction of the major axis, pinned on a board, and fixed with neutral formalin. Then, the fixed specimen was subjected to Sudan dyeing to determine the area occupied by the deposited lipid in the whole area of aorta (surface involvement (%)) by means of an image processing software (NIH Image Freeware). The results are shown in Table 8.

Table 8

	Surface involvement (%)
Control group	64.6±3.9
TMG administration group	52.4±4.7*

\* p < 0.05 (As compared with the control group)

In the biochemical test of blood, the TMG administration group showed no sign of abnormality. The TMG showed no activity of lowering the blood serum lipids (T-CHO, TG, PL, LDL-CHO) which have positive connections with the arteriosclerosis and the ischemic cardiopathy. It was demonstrated to be capable of significantly repressing the occurrence of the atherosclerosis, though the existence this disease could not be recognized in the LDL. The TMG showed no activity of lowering the HDL-cholesterol which is known to have negative relations with the arteriosclerosis and the

ischemic cardiopathy.

#### Test for acute toxicity

The agent of this invention for preventing and curing arteriosclerosis was tested for acute toxicity with a view to confirming the safety. Groups each formed of three ICR mice aged 4 to 5 weeks were used for the test. The same TMG as mentioned above was suspended as the chromanol glucoside in an aqueous 5% gum arabic solution. The suspension was orally administered to the mice at a dosage of 500 mg/kg as reduced to TMG and the mice were kept under visual inspection for one week. To the control group, the aqueous 5% gum arabic solution was orally administered at a rate of 0.3 ml. None of the mice in any of the administration groups was observed to encounter fatality.

#### Production Example 1

A powder agent was obtained by mixing 100 g of TMG, 800 g of milk sugar, and 100 g of maize corn starch by the use of a blender.

#### Production Example 2

A granular agent was obtained by mixing 100 g of TMG, 450 g of milk sugar, and 100 g of a low-substitution degree hydroxypropyl cellulose, then kneading the resultant mixture with 350 g of an aqueous 10% hydroxypropyl cellulose solution subsequently added thereto, extruding the produced blend and subjecting the extruded blend by the use of a pelletizer, and drying the pellets.

#### Production Example 3

Tablets were obtained by mixing 100 g of TMG, 550 g of milk sugar, 215 g of maize corn starch, 130 g of crystalline cellulose, and 5 g of magnesium stearate by the use of a blender and punching the resultant mixture with a tableting machine.

#### Production Example 4

Capsules were obtained by mixing 10 g of TMG, 110 g of milk sugar, 58 g of maize corn starch, and 2 g of magnesium stearate by the use of a V-shaped mixing device and filling capsules, No. 3, each with 180 mg of the resultant mixture.

5 Production Example 5

An injection agent was obtained by solving 200 mg of TMG and 100 mg of glucose in 20 ml of purified water, filtering the solution, dispensing the resultant filtrate in ampoules 2 ml in volume, sealing the ampoules, then sterilizing the filled ampoules.

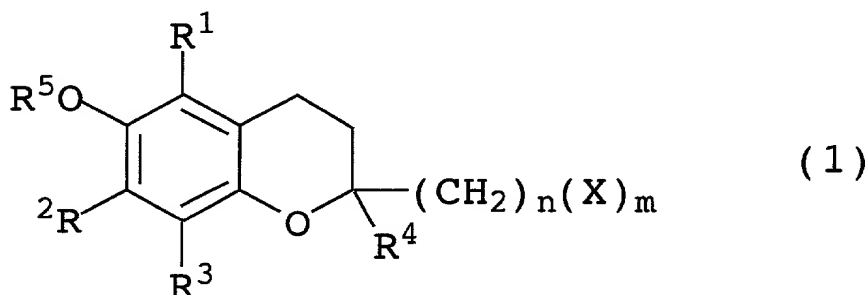
Industrial Applicability

The agent of this invention for preventing and curing arteriosclerosis, as described above, has a water-soluble chromanol glycoside as an effective component thereof. It is, therefore, capable of reinforcing the anti-oxidant preventing system in the living body, effectively repressing and adjusting the active oxygen and the free radical in the part affected by arteriosclerosis, prominently inhibiting morbid alteration in the arteriosclerosis, and improving dramatically the state of disease.

Further, since this invention contemplates utilizing a chromanol glucoside possessed of high solubility in water as an effective component of the agent, it allows the agent to be prepared in the form of aqueous preparation containing the effective component at a high concentration and enables it to be used with unusual safety. The agent is capable of acting on the diseased part effectively at a small application rate and preventing and curing arteriosclerosis with unusually high safety because it entrains no side effect.

CLAIM

1. An agent for preventing and curing arteriosclerosis, having as an active component thereof a chromanol glucoside represented by the following general formula (1)



(wherein R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and R<sup>4</sup> are the same or different and are each a hydrogen atom or a lower alkyl group, R<sup>5</sup> is a hydrogen atom, a lower alkyl group, or a lower acyl group, X is a monosaccharide residue or an oligosaccharide residue optionally having a lower alkyl group or a lower acyl group substitute for the hydrogen atom of the hydroxyl group in the saccharide residue, n is an integer in the range of 0 - 6, and m is an integer in the range of 1 - 6).

2. An agent for preventing and curing arteriosclerosis according to claim 1, wherein said chromanol glucoside is 2-(α-D-glucopyranosyl)methyl-2,5,7,8-tetramethylchroman-6-ol.

3. An agent for preventing and curing arteriosclerosis according to claim 1 or claim 2, wherein said arteriosclerosis is atherosclerosis.

4. An agent for preventing and curing arteriosclerosis according to any of claims 1 - 3, which is an aqueous preparation.

Docket No.  
4296-145 US

# Declaration and Power of Attorney For Patent Application

## English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled  
**AGENT FOR PREVENTING AND CURING ARTERIOSCLEROSIS**

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on February 1, 2000 as United States Application No. or PCT International Application Number PCT/JP00/00531 and was amended on \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

<u>11-025392</u>	<u>JAPAN</u>	<u>02/02/1999</u>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	
<u>                    </u>	<u>                    </u>	<u>                    </u>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	
<u>                    </u>	<u>                    </u>	<u>                    </u>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

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(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

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(Status)  
(patented, pending, abandoned)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

**Bruce M. Collins, Reg. No. 20,066**  
**Ronald Gould, Reg. No. 28,299**  
**Diane Dunn McKay, Reg. No. 34,586**  
**Timothy X. Gibson, Reg. No. 40,618**  
**David P. Krivoshik, Reg. No. 39,258**  
**Brian L. Buckwalter, Reg. No. 46,585**

**For the firm:**  
**Mathews, Collins, Shepherd & Gould, P.A.**  
**100 Thanet Circle, Suite 306**  
**Princeton, NJ 08540**  
**(609) 924-8555 Telephone**  
**(609) 924-3036 Facsimile**

Send Correspondence to:

**Mathews, Collins, Shepherd & Gould, P.A.**  
**100 Thanet Circle, Suite 306**  
**Princeton, NJ 08540**

Direct Telephone Calls to: *(name and telephone number)*

Full name of sole or first inventor <b>Toshikazu YOSHIKAWA</b>	
Sole or first inventor's signature <i>T. Yoshikawa</i>	Date <i>Sept. 12, 2001</i>
Residence <b>Kyoto, Japan JPX</b>	
Citizenship <b>Japan</b>	
Post Office Address <b>1-51, Todouaramaki, Uji-shi</b>	
<b>Kyoto 611-0013, Japan</b>	

Full name of second inventor, if any <b>Hironobu MURASE</b>	
Second inventor's signature <i>Hironobu Murase</i>	Date <i>September 11, 2001</i>
Residence <b>Gifu, Japan JPX</b>	
Citizenship <b>Japan</b>	
Post Office Address <b>2435-178, Nagara, Gifu-shi</b>	
<b>Gifu 502-0071, Japan</b>	

Full name of third inventor, if any <b>Norimasa YOSHIDA</b>	
Third inventor's signature <i>Norimasa Yoshida</i>	Date <i>9.13.2001</i>
Residence <b>Kyoto, Japan</b> JPX	
Citizenship <b>Japan</b>	
Post Office Address <b>76, Shimogamokitazono-cho, Sakyou-ku, Kyoto-shi</b>	
<b>Kyoto 606-0831, Japan</b>	

Full name of fourth inventor, if any	
Fourth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

Full name of fifth inventor, if any	
Fifth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

Full name of sixth inventor, if any	
Sixth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	